

Electronic Acknowledgement Receipt

EFS ID:	4514728
Application Number:	10627626
International Application Number:	
Confirmation Number:	4309
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
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Filer Authorized By:	Shawn W. Odowd
Attorney Docket Number:	10392/460043
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Filing Date:	28-JUL-2003
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180

RAM confirmation Number	1729
Deposit Account	110600
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	SupplementalIDS.pdf	88388 0f5a713029d4618846f204788cbb4f1f1c3bf4760	no	3

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (5B/08)	1449.pdf	115131 b657741279178823695612d2c2b674b4c4c0d96	no	4
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Warnings:

Information:

This is not an USPTO supplied IDS fillable form

3	Fee Worksheet (PTO-06)	fee-info.pdf	30186 b6ed79eb03baad7d7d33b3c3baed6f5a9f0751490c5	no	2
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Warnings:

Information:

Total Files Size (in bytes):			233705
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.